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PTO/SB/17 (11-01)  
OMB 0651-0032  
Office: U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

## Complete if Known

Application Number **09/646,399**  
Filing Date **19 March 1999**  
First Named Inventor **SCHERMAN**  
Examiner Name  
Group / Art Unit  
Attorney Docket No. **ST98008A US**

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT (\$ 180.00)**

RECEIVED  
MAR 21 2002  
TECH CENTER 1600/2900

**METHOD OF PAYMENT** (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number **18-1982**

Deposit Account Name **Aventis Pharmaceuticals Inc.**

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing Fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$)</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>(\$)</b>

**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English Specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	180.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
<b>SUBTOTAL (3)</b>					<b>(\$ 180.00)</b>

**\*\*or number previously paid, if greater; For Reissues, see above**

**\*Reduced by Basic Filing Fee Paid**

**SUBMITTED BY**

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Signature	<i>Karen I. Krupen</i>	Date	<b>07 March 2002</b>		

Complete (If applicable)

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CASE NO.: 57980087A US  
SERIAL NO.: Not yet assigned  
APPLICANTS: Genentech, Inc.  
PAPERS MAILED: 15 Sept 2000  
TITLE: Vector for transposing nucleic  
acid, liposome containing them,  
and their uses  
The Patent Office has received the items checked below:  
Transmittal Letter Form 1390

☒ 414 Recd PCT/PTO  
Application Description: (62 pages) 42 claims  
Claims: (19 pages) 13 drawings  
Abstract: (13 pages) 13 drawings  
Drawings: (13 pages) 13 drawings  
Declaration: (13 pages) 13 drawings  
Express Mail Certificate No. EL62018788445  
Info Disclosure Statement/Form 1449  
References: 09/646399  
Amendment  
Response  
Extension of Time/Late Fee  
Claim for Priority  
Formal Drawings: ( ) pages, ( ) drawings  
Certificate of Mailing  
Base Issue Fee  
Assignment  
Notice of Appeal/Fee  
Brief  
Request for License for Foreign Filing/License  
Certificate of Correction  
Sequence listing, diskette, + statement

STAMP DATE AND RETURN

NO Received Abstract Sheet.